



INDIAN INSTITUTE OF BANKING & FINANCE

(An ISO 21001:2018 Certified Institute)

Professional Development Centre – Western Zone

“Program on Preventive Vigilance & Fraud Management”

In Virtual Mode

From 16th to 18th October 2024



Open to Members & Non-Members

Individual participants can also register for the programme at their own cost

Program Co-Ordinators : Mr. Shiv Kumar Gupta & Shijoy Joseph
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Address :192, Maker Tower, F Wing, 19th Floor, Cuffe Parade Mumbai - 400005.

Website : www.iibf.org.in

BRIEF BACKGROUND

Established on 30th April 1928, IIBF which is an “Institute of the Bankers, for the Bankers and by the Bankers” has during its 96 years of service been actively involved in examination, training & academics and has emerged as a premier institute in banking and finance education.

Considering the emerging requirements of the bankers in the ever-changing dynamic environment, IIBF has been providing quality training to bankers in select areas. The training programmes are designed in consultation with the industry experts and human resources personnel with an endeavour to address the skill gaps on a continuous basis.

The regular offerings in varied areas prepare the banking professionals ahead of the impending change. IIBF has state-of-the-art training facilities at its Leadership Center at Mumbai and it has four Professional Development Centers (PDCs) at Mumbai, Delhi, Chennai and Kolkata catering to the increasing demand for the focused training programmes.

ABOUT THE PROGRAMME

In recent years, the Indian banking industry has seen a rise in financial frauds, becoming a growing concern for regulators. Despite being well-regulated, the industry has witnessed an increase in the frequency, complexity, and scale of frauds. This not only impacts the profitability of banks but also erodes stakeholder confidence and contributes to the rise in Non-Performing Assets (NPAs). Timely detection and reporting of fraudulent activities, in line with the RBI's Master Directions on Frauds, are essential to safeguarding the sector.

Frauds pose significant economic risks, disrupting the functioning of markets, financial institutions, and the payment system. Beyond financial losses, they can erode trust in the banking system, potentially destabilizing the economy. Technological advancements, while enhancing banking services, have also increased the sector's vulnerability to fraud.

To mitigate these risks, banks must focus on building a strong preventive vigilance framework. This involves leveraging robust IT systems, enforcing effective policies, maintaining strict compliance processes, and fostering high standards of integrity. Strengthening fraud detection, mitigation, and control mechanisms through early identification, investigation, and information sharing is crucial to protecting banks and preserving public confidence.

OBJECTIVES

The programme aims at developing understanding among participants about:

- Enhance understanding the framework of fraud risk management by focusing on prevention, detection, and reporting.
- Highlight the role of vigilance in governance to strengthen preventive measures and improve efficiency in banks.
- Equip participants with tools to identify early warning signals and respond promptly to potential fraud activities.
- Develop skills for effective fraud mitigation through robust IT systems and compliance processes.
- Promote a culture of transparency and accountability to ensure long-term fraud prevention and institutional integrity.

CONTENT OVERVIEW

- **Fraud Risk Management Framework** – Understanding FRM policy, internal and external frauds, and organizational structure.
- **Banking Operation Frauds** – Addressing KYC, cheque-related frauds, and money laundering activities.
- **Technology-Related Frauds** – Prevention of identity theft, card frauds, and cyberattacks through IT security frameworks.
- **Loan Frauds and CVC Guidelines** – Exploring new frameworks for preventing fraud in loan operations as per RBI directions.
- **Preventive Vigilance in Credit & Loans** – Measures to detect and control fraud in corporate credit, trade, and government schemes.

METHODOLOGY

- Virtual (Online) interactive lectures, Case Studies, presentations and sharing of experiences on ZOOM.
- Live sessions by Faculties shall be conducted and participants can participate in the sessions from home or office using Laptop/Desktop/iPad/Smart Phones.
- Login details for accessing the sessions shall be shared on registered mail ids of participants 24 hours before the program.

TARGET GROUP

- Middle and senior-level executives/officers serving in vigilance, inspection, fraud investigation, and fraud management departments of banks.
- Executives from critical branches such as Large Corporate Finance Branches and Mid-Large Corporate Finance Branches to understand fraud prevention.

DURATION

3 Days - From 16th to 18th October 2024

Timings: 10.00 A.M. to 05.15 P.M. (First day kindly login by 09.45 am)

FEES

₹ 6000/- (Six Thousand only) plus GST @18% aggregating to ₹ 7080/- per participant
(In case of TDS deduction, please send us TDS certificate).

Programme fees may be remitted to the credit of Institute's account as given below:

Beneficiary Name : Indian Institute of Banking and Finance

Name of the Bank Branch : State Bank of India, Vidyavihar (West), Mumbai.

SB Account No : 42895503864

IFSC Code : SBIN0011710

PAN No. : AAATT3309D GSTN No. : 27AAATT3309D1ZS

(Kindly provide your GST Number in the nomination letter to facilitate raising of invoice)

In case of any quires, kindly contact

Mr. Shiv Kumar Gupta	Mr. Shijoy Joseph Vadasery
Zonal Head	Junior Executive
head-pdcwz@iibf.org.in	je.pdcwz1@iibf.org.in
Mob : +91 9555233865	Mob : +91 9820127826
Landline : 022 69437301	Landline : 022 69437302

**** Last Date of Registration is 14th October 2024 ****



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Nomination Form – For Individuals

Name of the program	: Program on Preventive Vigilance & Fraud Management
Dates	: 16 th Oct 2024 (Wed) to 18 th Oct 2024 (Fri) – 3 Days
Time	: 10.00 a.m. to 5.15 p.m.
Program Type	: Virtual (Online) mode

Nominee Details

Sr. No.	Name	Designation	Mobile No. (WhatsApp)	E-mail	UTR No.
1					

***Login details of the program shall be shared on personal mail id of nominee.**

Fees - ₹ 6000/- (Six Thousand only) plus GST @18% aggregating to ₹ 7080/- per participant
(In case of TDS deduction, please send us TDS certificate)

Programme fees may be remitted to the credit of Institute's account as given below:	
Beneficiary Name	: Indian Institute of Banking and Finance
Name of the Bank branch	: State Bank of India, VidyaVihar (West), Mumbai.
SB Account No	: 42895503864
IFSC Code	: SBIN0011710
(PAN No: AAATT3309D and GSTIN NO. 27AAATT3309D1ZS)	

In case of any quires, kindly contact

Mr. Shijoy Joseph Vadasery Junior Executive Professional Development Centre – Western Zone - Mumbai Mobile : +91 9820127826 Email : je.pdcwz1@iibf.org.in	Mr. Shiv Kumar Gupta Zonal Head Professional Development Centre – Western Zone - Mumbai Mobile : +91 9555233865 Email : head-pdcwz@iibf.org.in
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Nomination Form – For Banks & FIs

Name of the program	: Program on Preventive Vigilance & Fraud Management
Dates	: 16th Oct 2024 (Wed) to 18th Oct 2024 (Fri) – 3 Days
Time	: 10.00 a.m. to 5.15 p.m.
Program Type	: Virtual (Online) mode

Nominee Details

Sr. No.	Name	Designation	Branch/ Office	Mobile No. (WhatsApp)	E-mail (Personal)	E-mail (Official)
1						
2						

Name of Bank/ FI	:
Address	:
GST Details	:
PAN No.	:
Phone/Mobile of Nominating Official	:
E-Mail of Nominating Official	:

***Login details of the program shall be shared on personal mail id of nominee.**

Fees - ₹ 6000/- (Six Thousand only) plus GST @ 18% aggregating to ₹ 7080/- per participant. (In case of TDS deduction, please send us TDS certificate)

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